New registration application Form 3–1

Application for a real estate salesperson registration certificate

Property Occupations Act 2014

This form is effective from 1 July 2021

ABN: 13 846 673 994

OFFICE USE ONLY	Instructions			
Date received	Before completing this application, please read the accompanying <i>Form 3–1 Notes</i> .			
	 Please complete application in BLOCK letters Attach extra pages if necessary 			
	 References to dates should be in DD/MM/YYYY 			
	• Fees quoted in this application/notes may alter at any time by Regulation			
	Refer to notes for fees—No GST is payable on licence fees			
	Criminal history check fee of \$39.55 (inclusive of \$1.13 GST per check)			
	All applicants are subject to mandatory criminal history checks. This fee is not included in the			
	application fee and must be included with your payment. Processing of your application will not be progressed until this fee is paid.			
	Please note: A criminal history check is not required if one has been completed under a relevant Act in the last six months.			
Entity number	If you were born in New Zealand or have a New Zealand passport, you need to get a copy of your			
	criminal history or a letter indicating you have no criminal history from New Zealand. For more			
	information, visit the New Zealand Ministry of Justice website www.justice.govt.nz			
Payment details				
Application allocation \$	We can only accept original documents dated within one month of the date you send them to us. Please note, it can take up to 20 working days to process a request for a New Zealand criminal			
CHC fee \$	history check. There is no fee payable for you to get a New Zealand criminal history check.			
Total allocation \$	Incomplete applications			
	Failure to complete this form or provide all the information, fees and documents will result in a delay in granting your registration certificate.			
	 Privacy statement—please read The Office of Fair Trading (OFT) collects information, including personal information, on this form as required by the <i>Property Occupations Act 2014</i> to process your application. In accordance with this Act, some personal information may be passed on to police services in Australia (including federal, states and territories) for criminal history searches. Enquiries may also be made with the Department of Home Affairs to verify eligibility to work in Australia. Your name will be placed on a register which may be inspected by the public. Additionally, information on this form can be disclosed without your consent where authorised or required by law. Under the <i>Fair Trading Act 1989</i> information may also be shared on a confidential basis with other Australian fair trading agencies. If you give the OFT an email address to communicate with you, the personal information in these 			
	communications will be stored on your email service provider's servers. These servers may be outside of Australia. By giving us your email address, you are consenting to the personal information contained within the emails to and from the OFT to be transferred outside Australia.			
	Change of details If at any time your registration certificate details or suitability requirements change, you must notify the Office of Fair Trading in writing within 14 days.			
Part 1—Registration ce	rtificate details			
Section 1				
Applicant name	Name of applicant			
Section 2 Registration category	Real estate salesperson			
Section 3 Registration term See Notes for fees payable	1 Year 3 Years			



Part 2—Applicant details	5
Section 1 Applicant details	Preferred title Mr Mrs Ms Miss Other (specify)
	Given names
	Have you been known by any other name? Yes 🗌 No 🗌
	Previous names
	Reason for change
Section 2 Date, place of birth and visa details NOTE: You must provide certified identification in your	If you are lodging this application in person, you can provide your original birth certificate/ extract , passport , Australian certificate of citizenship or driver's licence , which will be copied and certified by the officer accepting your application. If you are lodging this application by mail, please send a photocopy certified as being a true copy .*
current name.	Date of birth \Box D M M Y Y Y Y
*Only photocopies of documents certified as being a true copy of the original	Place of birth: Town
document by a Justice of the Peace, Commissioner	Country
for Declarations, barrister/ solicitor, or Notary Public can	Driver licence number State of issue
be accepted. The photocopy	Passport number
must contain the original signature of the person	Passport country
certifying the identification.	Passport type: Government Private UN refugee
	Eligibility to work in Australia
	Are you an Australian citizen? Yes 🗌 No 🗌
	If you are not an Australian citizen, supply a certified copy of your international passport— for more information see Notes accompanying this form.
Part 3—Contact details	
Section 1	Business phone
Contact details	Fax number
	Email address
	Preferred contact method: B/H A/H Mobile Email Mail
Section 2 Residential address A post office box address is not acceptable.	Home address
Section 3	
Postal address Enter 'as above' if the same	
as residential address.	Suburb State Postcode Postcode State Stat

Part 4—Applicant qualifications and previous licences								
The educational and other qualifications are outlined in the Notes accompanying this form.	registratio Yes- Attac Yes- Occup expir belov Registratio	on number	d? ualifications s equivalent reg ealed <i>Propert</i> provide you	howing the mod istration certific <i>y Agents and Mo</i> registration no Period: from: Period: from:	dules complete cate under the <i>btor Dealers A</i> umber/s and re / /	ed. Proper ct 2000 egistrat to: to:	ty which ion per /	
Office use only	Type Licence/certificate Status Condition Period							
office use only	Туре	number	Status	Condition	From		То	
Part 5—Suitability check	list							
Suitability requirements		wor Vos to any of those que	stions you n	ood to provide f	ull dotails in y	writing		
 Please see Notes accompanying this form for explanation. *Relevant Act includes: Motor Dealers and Chattel Auctioneers Act 2014, Property Occupations Act 2014 Debt Collectors (Field Agents and Collection Agents) Act 2014 The repealed Property Agents and Motor Dealers Act 2000 A corresponding law It is an offence to supply incorrect or misleading information. Disclosure of previous convictions does not automatically disqualify you from holding a registration certificate. However, failure to disclose convictions may result in your registration certificate being cancelled and prosecution action being commenced. 	If you answer Yes to any of these questions, you need to provide full details in writing. Are you currently insolvent under administration (this includes entering into bankruptcy or a Personal Insolvency Agreement under Part X of the Bankruptcy Act 1966 or is a party as a debtor to a debt agreement under Part IX of the Bankruptcy Act 1966)? Yes No Have you: Been found guilty of an offence (excluding traffic or misdemeanour offences) in Queensland or elsewhere, within the last five years? Yes No Been convicted of an offence and/or disqualified from holding a licence or registration certificate under a relevant Act* or the Agents Financial Administration Act 2014? Yes No Received an adverse order under the Property Occupations Act 2014 or the repealed Property Agents and Motor Dealers Act 2000 from QCAT or the District court in the last five years? Yes No Been named in the register of persons disqualified from managing corporations under the Corporations Act 2001? Yes No Ever had a licence or registration certificate refused, suspended or cancelled under a relevant Act*? Yes No Had an amount paid from the Claim Fund including the Funds established under the repealed Property Agents and Motor Dealers Act 2000 or the repealed Auctioneers and Agents Act 1971, because of an action or omission that gave rise to a claim? Yes No							

Fait O-Checklist and de	
Checklist	Please check each statement and tick each box if you have met the following requirements:
It is an offence to supply incorrect or misleading	I have completed each part of this form honestly and correctly to the best of my knowledge.
information.	I have provided all relevant certified documentation to meet the identity verification check in Part 2.
	I have enclosed proof of completion of an approved training course (including units completed) as required in Part 4.
	I have enclosed the application fee \$and
	Criminal history check of \$39.55
Applicant to sign	In submitting this application, I declare I have the consent of all persons relating to this application being subject to a national criminal history check by the Queensland Police Service (QPS) and for QPS and other Australian police services to disclose criminal history information and any impending charges to the Office of Fair Trading.
	I understand any disclosure will be subject to applicable Commonwealth, state or territory legislation and/or police policy. Enquiries may also be made with the Department of Home Affairs to verify eligibility to work in Australia. In other instances, information on this form can be disclosed without your consent where authorised or required by law.
	I declare the answers I have given on this form and attachments are true and correct in every detail.
	I have read and agree to the terms stated above.
	Applicant signature
	Date \square
Lodgement details	
IMPORTANT!	Please lodge the completed application, any supporting documentation and applicable fees to the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office
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IMPORTANT! Please make sure you: • provide all necessary information and documentation	 the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office. By mail: Industry Licensing Unit, GPO Box 3111, Brisbane QLD 4001
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IMPORTANT! Please make sure you: • provide all necessary information and documentation • sign the application • return all pages of the	 the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office. By mail: Industry Licensing Unit, GPO Box 3111, Brisbane QLD 4001 In person: Visit www.qld.gov.au/fairtrading or call 13 QGOV (13 74 68) for information and your nearest
 IMPORTANT! Please make sure you: provide all necessary information and documentation sign the application return all pages of the application form. 	the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office. By mail: Industry Licensing Unit, GPO Box 3111, Brisbane QLD 4001 In person: Visit www.qld.gov.au/fairtrading or call 13 QGOV (13 74 68) for information and your nearest Fair Trading Office or Queensland Government Service Office. If an applicant withdraws an application prior to a registration certificate being issued, or it is refused, the application fee and fees paid for criminal history checks that have already been
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<pre>IMPORTANT! Please make sure you: provide all necessary information and documentation sign the application return all pages of the application form. Refunds Change of details</pre>	the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office. By mail: Industry Licensing Unit, GPO Box 3111, Brisbane QLD 4001 In person: Visit www.qld.gov.au/fairtrading or call 13 QGOV (13 74 68) for information and your nearest Fair Trading Office or Queensland Government Service Office. If an applicant withdraws an application prior to a registration certificate being issued, or it is refused, the application fee and fees paid for criminal history checks that have already been conducted are not refundable. If at any time your registration certificate details or suitability requirements change, you must
IMPORTANT! Please make sure you: • provide all necessary information and documentation • sign the application • return all pages of the application form. Refunds Change of details	the Office of Fair Trading at the address below, at one of our regional offices, or at a Queensland Government Service Office. By mail: Industry Licensing Unit, GPO Box 3111, Brisbane QLD 4001 In person: Visit www.qld.gov.au/fairtrading or call 13 QGOV (13 74 68) for information and your nearest Fair Trading Office or Queensland Government Service Office. If an applicant withdraws an application prior to a registration certificate being issued, or it is refused, the application fee and fees paid for criminal history checks that have already been conducted are not refundable. If at any time your registration certificate details or suitability requirements change, you must notify the Office of Fair Trading within 14 days.

Payer details			
This section must be completed if payment has been made by another person on behalf of the applicant.	Name Postal address		
Payment			
Payment details	Cash—pay in person Debit/Credit card Money order Cheque Do not send cash by mail Make money order or cheque payable to the Office of Fair Trading. A receipt will not be issued unless specifically requested.		
Debit/Credit card	OFT cannot accept debit/credit card details over the phone, fax or email (including any attachments) in accordance with the Payment Card Industry Data Security Standard. If an email or fax is received containing debit/credit card details, it will be deleted immediately and your application and payment will not be processed.		
Charge my:	Go online to <u>www.qld.gov.au/fairtrading</u>		
Debit/Credit card number:			
Cardholder's name:			
Amount authorised:	\$ Expiry date: $M M M / Y Y Y Y$		
Cardholder's signature:			
Online payments	Tick box if you wish to pay online If you select this option, once OFT has received your documentation, an officer will be in contact to provide you with a Customer Reference Number (CRN). You can use this to pay via the following methods: Image: Comparison of the second		
By post	A cheque or money order can be posted in, together with the application form.		
	Make money order or cheque payable to the Office of Fair Trading		
In person	You can also visit a Fair Trading Office or an applicable Queensland Government Service Office to lodge this application and pay the applicable fees over the counter. Visit www.qld.gov.au/fairtrading or call 13 QGOV (13 74 68) for your nearest Fair Trading Office or Queensland Government Service Office.		

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New registration application Form 3–1 Notes



Application for a real estate salesperson registration certificate

Property Occupations Act 2014

This form is effective from 1 July 2021

ABN: 13 846 673 994

Who can use this form?

New registration application form 3–1 is for individuals to apply for a real estate salesperson registration certificate under the *Property Occupations Act 2014*.

Real estate salesperson registration allows the holder to work as an employee of a licensed real estate agent to perform the following activities for reward:

- buy, sell, exchange or let real property or interests in real property
- buy, sell, exchange or let businesses or interests in businesses
- negotiate for the buying, selling, exchanging or letting of real property or interests in real property
- negotiate for the buying, selling, exchanging or letting of businesses or interests in businesses
- collect rents.

Fees payable

Registration category	One year term	Three year term
	(One registration category – includes non-refundable application fee of \$106.80 and registration issue fee)	(One registration category – includes non-refundable application fee of \$106.80 and registration issue fee)
Real estate salesperson	\$354.00	\$601.50

Criminal history check

A criminal history check (CHC) will be conducted for each person whose name is listed on the application. The fee for each CHC conducted is \$39.55 (inclusive of \$1.13 GST) and processing of the application cannot be progressed until this fee is paid. Criminal history checks are conducted in accordance with the legislation as outlined in the *Property Occupations Act 2014*. All applications are subject to mandatory criminal history checks. **CHC fees are not included in the above fees**.

Please note: A CHC is not required if one has been completed under a relevant Act * in the last six months. If it is outside of this timeframe then another one is required.

*A relevant Act includes:

- Motor Dealers and Chattel Auctioneers Act 2014,
- Property Occupations Act 2014,
- Debt Collectors (Field Agents and Collection Agents) Act 2014,
- The repealed Property Agents and Motor Dealers Act 2000.

Total fee = 1 or 3 year fee \$.....+ CHC fee of \$39.55 = \$....

If you were born in New Zealand or have a New Zealand passport, you need to get a copy of your criminal history or a letter indicating you have no criminal history from New Zealand. For more information, visit the New Zealand Ministry of Justice website www.justice.govt.nz

We can only accept original documents dated within one month of the date you send them to us. Please note, it can take up to 20 working days to process a request for a New Zealand criminal history check. There is no fee payable for you to get a New Zealand criminal history check.

Part 2—Applicant details

Proof of name and identification

You need to provide proof of identification in your current name. This can be your driver's licence, passport, Australian certificate of citizenship or birth certificate/ extract. Do not send originals. Only photocopies of original documents certified as being a true copy of the original document by the following people can be accepted: Justice of the Peace, Commissioner for Declarations, barrister/ solicitor or Notary Public.

If you are lodging your application in person, you can provide your original documents which will be copied and certified by the officer accepting your application.

Eligibility to work in Australia

Every worker from overseas must have a valid Australian visa with work rights. Temporary visas with work rights include working holiday maker visas, student visas and the subclass TSS visa. You can check your own work and visa entitlements at www.homeaffairs.gov.au. Enquiries may be made with the Department of Home Affairs to verify an applicant's work entitlements in Australia.

Part 4—Applicant qualifications

An applicant is eligible to obtain registration as a real estate salesperson, if they are at least 18 years of age and if they have completed the educational and other qualifications as approved by the chief executive.

Please provide with your application a certificate of completion, statement of attainment or official transcript of the relevant course/training package listing the modules completed.

A full list of the approved training units can be found at www.qld.gov.au/fairtrading

For information on training providers:

- visit www.training.gov.au and search by typing in the name of the qualification from the relevant training package
- for general enquiries telephone 1300 369 935
- check with industry associations
- search the yellow pages for training providers.

If you have held an equivalent registration under the *Property Occupations Act 2014* or the repealed *Property Agents and Motor Dealers Act 2000* which expired less than two years ago, list the registration details in Part 4.

If you have held a real estate salesperson certificate in Queensland in the past two years, you do not need to complete the training requirements.

Part 5—Suitability checklist

An applicant is not a suitable person to hold a registration certificate if the individual:

- has been convicted of a serious offence within the last five years in Queensland or elsewhere
- is currently disqualified from holding a licence or registration certificate.

While an applicant is automatically deemed not suitable to hold a registration certificate if they answer yes to either of the above, there are also a number of other matters which must be considered when determining suitability. If you answer yes to any of the suitability questions listed on the application form, please provide full details in writing.

If you are currently insolvent under administration, you will need to supply the following information to allow a determination to be made on your suitability:

- A description of the circumstances that caused you to go into bankruptcy (if you were involved in a business please advise the type of business) and a description of the steps taken by you to avoid going into bankruptcy.
- Official notification of the insolvency including a list of creditors and amounts owing to each creditor.
- Confirmation of whether any charges were laid against you in regard to the bankruptcy.
- A letter from your employer outlining your role within the business. The letter must be written on business letterhead and signed by a director or the licensed person in charge. In accordance with section 121(1)(h)(iii) of the *Property Occupations Act 2014*, the letter should specify whether you would be 'in a position to influence significantly the management of the licensee's business'.

Relevant Acts include the Motor Dealers and Chattel Auctioneers Act 2014, the Property Occupations Act 2014, the Debt Collectors (Field Agents and Collection Agents) Act 2014, the repealed Property Agents and Motor Dealers Act 2000 or a corresponding law.

'insolvent under administration' has the meaning as defined in the *Corporations Act 2001*, section 9.

The *Corporations Act 2001* defines insolvent under administration as:

- 1. a person who, under the *Bankruptcy Act 1966* or the law of a foreign country has the status of an undischarged bankrupt; or
- 2. a person who, under the law of an external Territory or the law of a foreign country, has the status of an undischarged bankrupt; or
- 3. A person whose property is subject to control under:
 - a. Section 50 or Division 2 of Part X of the *Bankruptcy Act 1966*; or
 - b. A corresponding provision of the law of an external Territory or the law of a foreign country; or
- 4. A person who has executed a personal insolvency agreement under:
 - a. Part X of the Bankruptcy Act 1966; or
 - b. The corresponding provisions of the law of an external Territory or the law of a foreign country;
 Where the terms of the agreement have not been fully complied with; or

- 5. A person who is a party (as a debtor) to a debt agreement under:
 - a. Part IX of the Bankruptcy Act 1966; or
 - b. The corresponding provisions of the law of an external Territory or the law of a foreign country.

'**serious offence**' means any of the following offences punishable by three or more years imprisonment:

- an offence involving fraud or dishonesty
- an offence involving the trafficking of drugs
- an offence involving the use or threatened use of violence
- an offence of a sexual nature
- extortion
- arson
- unlawful stalking.

The fact that a sentence for one of the offences was less than three years does not lessen the applicant's responsibility for disclosure. If in doubt answer 'Yes' and provide written details of the conviction. It is an offence to provide incorrect or misleading information.

'conviction' includes a plea of guilty or a finding of guilt by a court, but does not include a plea of guilty or a finding of guilt by a court if no conviction is recorded by the court.

'corresponding law' means a law of another State, Territory or New Zealand that provides for the same matter as a relevant Act or a provision of a relevant Act.

If you are uncertain about any of the above, you can contact the Office of Fair Trading on 13 QGOV (13 74 68) for information and assistance.

Lodgement details

Please ensure your application is fully completed and you have attached proof of identification documents, training certificates, fees and attachments as required. If any details or fees are missing or incomplete our office will be required to write to you which delays the processing of your application and the issue of your registration certificate. If no response is received from you within a reasonable timeframe, your application may be deemed to have been withdrawn by you. In this circumstance a refund of fees paid less the application fee and criminal history check fees (if the checks have been conducted) will be refunded to you. You will then need to resubmit another application if you still require the registration certificate.

Application approval

Normal processing time for new applications is 4–6 weeks however this can be longer if we need to contact you for missing information or fees. Applications can sometimes be delayed awaiting clearance of police checks which is outside the control of the Office of Fair Trading. If your application is refused we will send an information notice to you explaining your rights and the process involved in appealing the decision.

You are not able to perform the functions of a real estate salesperson until your registration certificate has been issued.

Refunds

You can withdraw your application at any time prior to the registration certificate being granted or refused. If the application is withdrawn by you or deemed withdrawn or refused you will receive a refund of the fees paid less a non-refundable application processing fee and any fees paid for criminal history checks that have already been conducted.